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Col. Glenn Sutphin
Executive Director
The Capitol, Suite 2105
400 South Monroe Street
Tallahassee, Florida 32399-4001

Subject: Six-month status update Auditor General Report 2017-201 Mobile Device Security Controls – Information Technology Operational Audit

Dear Col. Sutphin:

This letter is to provide you with the six-month status update, pursuant to Florida Statute 20.055(6)(h), of the Florida Department of Veterans' Affairs' (FDVA) corrective actions to the findings of the Auditor General Report 2017-201 Mobile Device Security Controls – Information Technology Operational Audit.

Sincerely,

A handwritten signature in black ink, appearing to read "David Marzullo".

David Marzullo
Inspector General

Cc: Joint Legislative Auditing Committee

Finding No. 1: Impact Analysis

The DLA, FDVA, and FWCC lacked documentation that an impact analysis had been conducted prior to allowing the use of agency-owned and personally owned mobile devices in each respective agency's IT environment.

Recommendation:

That DLA, FDVA, and FWCC management assess the impact of allowing mobile devices to access agency IT environments, and identify and design required IT security controls to protect the confidentiality, availability, and integrity of agency data and IT resources.

Agency Initial Response:

FDVA will document all of the security requirements/IT security controls necessary to meet the AST requirements. On a regular basis, FDVA will review the analysis and make changes as necessary.

Agency Six-Month Response:

The action to this finding is in development. The agency is currently working on creating a mobile device risk assessment to assess the impact of allowing mobile devices to access agency IT environments and identify and design required IT security controls to protect the agency's IT resources.

Finding No. 3: Mobile Device Agreements

Controls related to mobile device agreements at the DLA, FDVA, and FWCC need improvement to ensure that the agency and user responsibilities for personally owned mobile devices used to connect to the agency's network and IT resources are appropriately documented.

Recommendation:

We recommend that DLA, FDVA, and FWCC management improve controls to ensure that all users are informed of the security risks and document acknowledgement of their responsibilities prior to accessing agency data and IT resources remotely.

Agency Initial Response:

FDVA will coordinate the review of the Acceptable Use Notification Record with the HR office and check off the review on a bi-annual basis. FDVA will maintain documentation of the reviews.

Agency Six-Month Response:

Recommend closing this item. The Mobile Device Policy with FDVA Personal Smartphone Acknowledgement, Waiver, and Release document has been in place since 7/28/2017. All new employees are required to sign the Acceptable Use and Acknowledgement Policy during their orientation. Copies of these signed documents are kept in their Personnel Record. A procedure has been put in place where a copy of the Acceptable use Notification Record for any new user

that is receiving an Agency smart/cell phone, laptop/tablet, or air-card will be included with the IT Inventory document and permanently kept on file in a centralized location. An audit of existing users, who have Agency mobile devices, has been conducted and have confirmed that they have signed the Acceptable use Notification Record.