



Executive
Director
Marshall Stranburg

October 10, 2014

MEMORANDUM

TO: Marshall Stranburg, Executive Director

FROM: Sharon Doredant, Inspector General
Office of Inspector General

SUBJECT: Six-Month Update on Auditor General Report No. 2014-185,
Property Tax Oversight (PTO) System

As required by *section 20.55(5)(h), Florida Statutes*, attached is the Department's six-month status update for corrective actions taken in response to the Auditor General Report No. 2014-185, Property Tax Oversight IT System.

If you have any questions, please contact me at 617-8152, or Marie Walker at 717-7598.

SD/ww

Attachment

cc: Andrea Moreland, Deputy Executive Director
Vince Aldridge, Chief of Staff
Marie Walker, Director of Auditing
Kathy DuBose, Coordinator, JLAC

Status Date 6/30/14	Report No. 2014-185	Report Title AG Audit of PTO System		
Contact Person Paul Forrester	Program/Process PTO/Information Services		Phone No. 717-6722	
Activity Access Privileges	Accountability		Schedule	
	Responsible Unit BASIS	Coordinating Unit ISP/ISM	Repeat Finding No	Anticipated Completion Date 7/31/14
Finding	Access privileges of some users were not appropriate for their job responsibilities and some accounts existed that were no longer needed.			
No.	1			
Date	4/11/14			
Recommendation	The Department should limit user access privileges to PTO Oracle application data and IT resources to only those access privileges that are appropriate and necessary to perform assigned job responsibilities. Additionally, the Department should ensure that the access privileges of former employees and contractors and other accounts are deactivated in a timely manner upon the departure of the user or when the account is no longer needed.			
Original Response	We agree with the finding and recommendations. We will delete the unnecessary accounts, and perform a review of the duties and responsibilities of the PTO Oracle application and database users.			
Status Updates	9/2/14—Per email from ISP, the status has not changed.			
<input checked="" type="checkbox"/> Open <input type="checkbox"/> Management assumes risk <input type="checkbox"/> Partially complete <input type="checkbox"/> Complete pending verification by OIG <input type="checkbox"/> Complete	8/11/2014 – per OIG CAP has been forwarded to ISP for resolution.			

Status Date	Report No.	Report Title			
6/30/14	2014-185	AG Audit of PTO System			
Contact Person	Program/Process		Phone No.		
Paul Forrester	PTO/Information Services		(850) 717-6722		
Activity	Accountability		Schedule		
Authorization	Responsible Unit	Coordinating Unit	Repeat Finding	Anticipated Completion Date	
	BASIS	ISP/ISM	No	7/31/14	
Finding	Authorization documentation of access privileges for some users was missing and, in some instances, inaccurate				
No.					2
Date					4/11/14
Recommendation	The Department should maintain documentation of management's authorization of user access privileges and ensure that the documentation is accurate.				
Original Response	We agree with the finding and recommendations. We will review our access management procedures and provide additional training where necessary.				
Status Updates	9/2/14—Per email from ISP, the status has not changed.				
<input checked="" type="checkbox"/> Open <input type="checkbox"/> Management assumes risk <input type="checkbox"/> Partially complete <input type="checkbox"/> Complete pending verification by OIG <input type="checkbox"/> Complete	8/11/2014 – per OIG CAP has been forwarded to ISP for resolution.				

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Paul Forrester	PTO/Information Services		(850) 717-6722	
Activity	Accountability		Schedule	
Review	Responsible Unit	Coordinating Unit	Repeat Finding	Anticipated Completion Date
	BASIS	ISP/ISM	No	7/31/14
Finding				
No.	3			
Date	4/11/14			
The Department had not performed a periodic review of PTO Oracle application access privileges.				
Recommendation	The Department should perform a periodic review of access privileges for the PTO Oracle application to ensure access privileges remain appropriate.			
Original Response	We agree with the finding and recommendations. We will perform a monthly review of the access privileges to the PTO Oracle application.			
Status Updates	9/2/14—Per email from ISP, the status has not changed.			
<input checked="" type="checkbox"/> Open <input type="checkbox"/> Management assumes risk <input type="checkbox"/> Partially complete <input type="checkbox"/> Complete pending verification by OIG <input type="checkbox"/> Complete	8/11/2014 – per OIG CAP has been forwarded to ISP for resolution.			

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Paul Forrester	PTO/Information Services		(850) 717-6722	
Activity	Accountability		Schedule	
Logging and Monitoring	Responsible Unit	Coordinating Unit	Repeat Finding	Anticipated Completion Date
	BASIS	ISP/ISM	No	12/31/14
Finding	Certain PTO System controls related to the logging and monitoring of system activity needed improvement.			
No.	4			
Date	4/11/14			
Recommendation	The Department should improve controls over the logging and monitoring of system activity to ensure the continued confidentiality, integrity, and availability of PTO System data and related IT resources.			
Original Response	We agree with the finding and recommendations, and plan to perform the requested actions.			
Status Updates	9/2/14—Per email from ISP, the status has not changed.			
<input checked="" type="checkbox"/> Open <input type="checkbox"/> Management assumes risk <input type="checkbox"/> Partially complete <input type="checkbox"/> Complete pending verification by OIG <input type="checkbox"/> Complete	8/11/2014 – per OIG CAP has been forwarded to ISP for resolution.			

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Contact Person	Program/Process		Phone No.	
Paul Forrester	PTO/Information Services		(850) 717-6722	
Activity	Accountability		Schedule	
Security Controls	Responsible Unit	Coordinating Unit	Repeat Finding	Anticipated Completion Date
	BASIS	ISP/ISM	No	12/31/14
Finding				
No.	5			
Date	4/11/14			
Certain PTO System security controls related to user authentication needed improvement.				
Recommendation				
The Department should improve user authentication controls to ensure the continued confidentiality, integrity, and availability of PTO System data and related IT resources.				
Original Response				
We agree with the finding and recommendations, and plan to perform the requested actions.				
Status Updates				
9/2/14—Per email from ISP, the status has not changed.				
8/11/2014 – per OIG CAP has been forwarded to ISP for resolution.				
<input checked="" type="checkbox"/> Open <input type="checkbox"/> Management assumes risk <input type="checkbox"/> Partially complete <input type="checkbox"/> Complete pending verification by OIG <input type="checkbox"/> Complete				