



Florida Department of
Law Enforcement

Richard L. Swearingen
Commissioner

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Rick Scott, *Governor*
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Jeff Atwater, *Chief Financial Officer*
Adam Putnam, *Commissioner of Agriculture*

MEMORANDUM

DATE: September 13, 2016

TO: Richard L. Swearingen, Commissioner
Office of Executive Director

FROM: Lourdes Howell-Thomas, Inspector General
Office of Inspector General

SUBJECT: Six-Month Follow-Up Report
Auditor General Report Number 2016-166
Surplus Computer Hard Drive Disposal Processes – IT Operational Audit

The Auditor General completed an audit of the Surplus Computer Hard Drive Disposal Processes at FDLE, DEP and SBA in March 2016. The final report included two findings. In accordance with the provisions of s. 20.055(6)(h), Florida Statutes, the OIG conducted a six-month follow-up.

The attached *Six-Month Follow-up Report* details the latest implementation status as of September 2016. The Department has taken steps to address the recommendations; however, both findings remain open. The recommendations will be re-evaluated at a future date.

We appreciate the assistance and cooperation provided by members of the Information Technology Services division during this project.

If further information is needed, please contact me at (850) 410-7241.

LHT/tlc

Attachment

cc: Joint Legislative Auditing Committee

Acknowledged by:

Richard L. Swearingen, Commissioner

9/13/16

Date

Auditor General Report Number 2016-166
Department of Law Enforcement
Surplus Computer Hard Drive Disposal Processes
IT Operational Audit
Six-Month Status Report
September 13, 2016

Finding No. 1: Surplus Computer Hard Drive Sanitization and Disposition Documentation

Recommendation:

We recommend that DEP, DLE, and SBA management improve documentation of surplus computer hard drive sanitization and disposition to better demonstrate that appropriate actions were taken to prevent inappropriate or unauthorized access to confidential or exempt information.

Response

We agree with this finding and are moving forward with the recommendation of improving our documentation.

Management's Six-Month Status Response: The Office of IT Services developed an operating procedure to address this issue. The procedure will be implemented by October 1, 2016. See attached Procedure-4-300-Hard-Drive-Destruction.doc.

Finding 2: Security Controls – Surplus Computer Hard Drive Disposal Processes

Recommendation:

We recommend that DEP, DLE, and SBA management improve security controls related to surplus computer hard drive disposal processes to better ensure the continued protection of confidential and exempt information.

Response:

We agree that more security controls related to surplus computer hard drive disposal processes can be added.

Management's Six-Month Status Response: The Office of IT Services developed an operating procedure to address this issue. The procedure will be implemented by October 1, 2016. See attached Procedure-4-300-Hard-Drive-Destruction.doc.