

Ron DeSantis
Governor

■ ■
Taylor N. Hatch
Director

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July 27, 2023

Taylor Hatch, Director
Agency for Persons with Disabilities
4030 Esplanade Way, Suite 380
Tallahassee, FL 32399-0950

Re: OIG No. 230712-01-FUP Status of Corrective Actions, Auditor General Report No. 2023-174, State of Florida – Compliance and Internal Controls Over Financial Reporting and Federal Awards

Dear Director Hatch:

In accordance with the provisions of section 20.055(6)(h), Florida Statutes, we asked the responsible managers for a status update regarding finding No. 2022-058 included in the Auditor General report No. 2023-174.

The finding, recommendation, the managers' original response, and the status of corrective actions are attached. We reviewed the response, and the finding will remain open until management has completed corrective action.

Please contact me if you have any questions.

Sincerely,

Stacey Emminger



Stacey Emminger, CIA, CIGA, CISA
Audit Director

Enclosure

cc: JLAC@leg.state.fl.us
Melinda M. Miguel, Chief Inspector General
Sherrill F. Norman, Auditor General
Gina Herron, Chief of Staff
Alisa Roberson, Director of Business Operations and Support
Rose Salinas, Deputy Director of Budget, Planning, and Administration
Aares Williams, Contract Administrator
Erin Romeiser, Inspector General

Status of Corrective Actions for Auditor General Report No. 2023-174,
State of Florida Compliance and Internal Controls Over Financial
Reporting and Federal Awards

Status Type		Report No.	Report Title		
STATUS UPDATE - 6 MONTHS		2023-174	Statewide Federal Financial Awards Audit		
Contact Person		Program/Process		Phone No.	
Aares Williams, Contract Administrator		Contract Administration		(850) 488-4262	
Activity		Accountability		Schedule	
Contract Monitoring		Responsible Unit		Repeat Finding	
		Bureau of Contract Administration		No	
				Anticipated Completion Date	
				December 31, 2023	
Finding:		The FAPD did not ensure that utilization reviews (URs) and continued stay reviews (CSRs) for Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF-IIDs) were conducted by qualified professional personnel.			
No.	2022-058				
Date	March 2023				
Recommendation		We recommend that FAPD management periodically review QIO staffing qualifications to ensure compliance with Federal regulations.			
Original Response/ Action Plan		<p>Aares Williams, Contract Administrator, provided the following response on March 14, 2023:</p> <p>The FAPD executed a contract with a QIO to provide UR and CSR services to ICF-IIDs. The contract's Attachment I Section B. Manner of Service Provision number 3. Staffing Requirements a. and b. specifies the levels to which the Provider must maintain adequate, professionally, qualified staff and organizational structure to meet the contractual responsibilities in the provision of service and deliverables. The section further delineates the position titles and qualifications to be recruited and retained.</p> <p>The executed contract is the Provider's acceptance of the terms, conditions, and responsibility to implement and maintain the fidelity of the contract. FAPD is building an adequately staffed monitoring and oversight unit to ensure timely monitoring of contractual terms and conditions to ensure provider responsibility is met.</p> <p>Effective July 1, 2023, FAPD Contract Administration will begin utilizing CA-51 Staffing Verification Certification of Assurance form and an updated CA-35 Service Verification form. These forms will assist with monthly verification of the vendor's required staff and professional qualifications to ensure compliance with federal regulations.</p> <p>If FAPD Contract Administration determines that the staffing requirements and/or qualifications do not meet federal regulations, the provider will be notified in writing utilizing a letter of finding (deficiency) and CA-20 Corrective Action Plan form within seven (7) business days of receipt of the CA-51.</p>			

	<p>The provider will have thirty (30) days to present a Corrective Action Plan (CAP) that details actions necessary to fulfill the staffing deficiency. If the deficiency is not met, FAPD will request the evidence of progression to meeting staffing compliance with federal regulations until compliance is determined.</p> <p>Anticipated Completion Date: December 31, 2023</p>
<p>Status Updates</p> <p><input type="checkbox"/> Fully Corrected</p> <p><input checked="" type="checkbox"/> Partially Corrected</p> <p><input type="checkbox"/> Not Corrected</p> <p><input type="checkbox"/> No Longer Valid</p> <p><input type="checkbox"/> Does Not Warrant Further Action</p>	<p>Aares Williams, Chief of Contract Administration provided the following response on July 27, 2023:</p> <p>Contract Administration has implemented the use of the attached forms. We still anticipate completion of the corrective action by the end of the year.</p> <p>Anticipated Completion Date: December 31, 2023</p> <div style="text-align: center;">  <p>CA-35 Verification of Provider Service D</p> </div> <div style="text-align: center;">  <p>CA-51 Staffing Verification Certifica</p> </div>