



agency for persons with disabilities
State of Florida

Rick Scott
Governor

September 20, 2016

■ ■
Barbara Palmer
Director

Barbara Palmer, Director
Agency for Persons with Disabilities
4030 Esplanade Way, Suite 380
Tallahassee, FL 32399-0950

■ ■
State Office

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4030 Esplanade Way
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Tallahassee
Florida
32399-0950

Re: OIG# 160722-01, Status of Corrective Actions, Auditor General
Report No. 2016-159, State of Florida Compliance and Internal
Controls Over Financial Reporting and Federal Awards

■ ■
(850) 488-4257
Fax:
(850) 922-6456

Dear Director Palmer:

In accordance with the provisions of Section 20.055(6)(h), Florida
Statutes, we asked the responsible manager for the current status of the
finding identified in the report.

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Toll Free:
(866) APD-CARES
(866-273-2273)

The finding, recommendation, the manager's original response, and the
September 2016 status of corrective actions are attached. We have
reviewed these and believe the actions taken are acceptable.

Please contact Shawn McCormick, Director of Audit at 414-8774 if you
have any questions.

Sincerely,

Carol Sullivan, MBA, CIA, CIGA, CIG
Inspector General

Enclosure

cc: JLAC@leg.state.fl.us
Chief Inspector General, Melinda.Miguel@eog.myflorida.com
Ms. Sherrill F. Norman, Auditor General
Karen Hagan, Chief of Staff

Status of Corrective Actions for Auditor General Report No. 2016-159,
State of Florida Compliance and Internal Controls Over Financial
Reporting and Federal Awards

Status Type	Report No.	Report Title	
STATUS UPDATE - 6 MONTHS	2016-159	Statewide Federal Financial Awards Audit	
Contact Person	Program/Process	Phone No.	
Lori Gephart, Registered Nurse Consultant	Programs	(850) 921-3786	
Activity	Accountability	Schedule	
Utilization Control and Program Integrity	Responsible Unit	Repeat Finding	Anticipated Completion Date
	Clinical Supports	NOT APPLICABLE	
Finding:			
No.	1		
Date	September 20, 2016		
	The FAPD did not always ensure that recertifications of need were conducted every 12 months for beneficiaries of Intermediate Care Facility Services for the Developmentally Disabled (ICF-DDs) or timely conduct continued stay reviews.		
Recommendation	We recommend that recertifications and continued stay reviews of ICF-DD beneficiaries be timely conducted in accordance with Federal regulations.		
Original Response/ Action Plan	<p>The Agency concurs.</p> <p>Medicaid Information Sheets are completed by the individual ICFs as part of their annual Habilitation Plan. Continued stay reviews for all Medicaid recipients residing in the ICF/DD facilities are to be completed within the required 6 month timeframe. There has been a shortage in positions for the Regional Medical Case Managers (MCMs) statewide.</p> <p>FAPD has submitted a Legislative Budget Request (LBR) to contract for UR/CSR positions that will solely address this work function. (submitted 2016 legislative session)</p> <p>FAPD will provide additional face to face in-service training for all Regional staff involved with the UR/CSR process. (2016 - 6 Regions)</p> <p>Monthly Statewide and Regional Training calls for staff (including supervisors). (ongoing)</p>		

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	<p>Continued work with AHCA to revise ICF Handbook and applicable Rules and Statutes. (ongoing)</p> <p>Conference call with MCM Clinical Stream Leads regarding their supervision of the UR/CSR process and responsibilities. (March 2016)</p>
<p>Status Updates</p> <p><input type="checkbox"/> Open</p> <p><input checked="" type="checkbox"/> Partially complete</p> <p><input type="checkbox"/> Complete</p> <p><input type="checkbox"/> Complete pending verification by OIG</p> <p><input type="checkbox"/> Management assumes risk</p>	<p>FAPD submitted a Legislative Budget Request (LBR) and received approval to contract for UR/CSR positions that will solely address this work function. FAPD is working on an RFI (Request for Information) to ascertain if there are vendors interested in contracting to provide the UR/CSR function.</p> <p>FAPD conducts monthly MCM conference calls (statewide and Regional) for staff (including supervisors). ICF UR/CSR is a standard topic on the agenda.</p> <p>AHCA revised the ICF Handbook, the State Plan, and applicable Rules.</p> <p>Conference call with MCM Clinical Stream Leads regarding their supervision of the UR/CSR process and responsibilities. This is being done in conjunction with the face to face in-service training for all Regional staff involved with the UR/CSR process. To date the following Regions have had their training:</p> <ul style="list-style-type: none"> • NW Region (completed April 15, 2016) • Central Region (completed May 5/6, 2016) • SE Region (completed April 21/22, 2016) • S Region (completed for July 21, 2016) • NE (planned for September/October) • SC (planned for September/October)