



September 1, 2021

MEMORANDUM

TO: Jim Zingale, Executive Director

FROM: Angie Welch, Inspector General *AW*

SUBJECT: Six-Month Update on Auditor General Report Number 2021-182,
State of Florida Compliance and Internal Controls Over Financial
Reporting and Federal Awards

As required by section 20.055(6)(h), Florida Statutes, the following is the Department's six-month status update for corrective actions taken in response to Auditor General Report Number 2021-182, State of Florida Compliance and Internal Controls Over Financial Reporting and Federal Awards.

The Information Services Program (ISP) and the General Tax Administration (GTA) program provided updates on actions taken to correct the following two findings:

AG Finding No. 2020-24: The Florida Department of Revenue (FDOR) did not complete a periodic review of all System for Unified Taxation (SUNTAX) user access privileges to ensure that access was only granted to authorized users and that the access privileges granted were appropriate.

Status: Closed

ISP originally stated the corrective action would consist of an online user access review. However, based on subsequent management analysis, ISP and GTA developed a semi-annual manual process they deemed to be sufficient for the expected number of changes. In accordance with the process, ISP completed the initial review of SUNTAX access privileges for all users and performed a quality assurance review.

AG Finding No. 2020-25: Internal controls for UI tax payment processing need improvement.

Status: Partially Complete

GTA continues to monitor corrective actions being taken by the service organization to resolve the deficiencies noted in the independent service auditor's report. All deficiencies are expected to be corrected by December 1, 2021.

For additional information about the findings, recommendations, and corrective actions, please contact me at (850) 617-8152, or Marie Walker at (850) 717-7598.

AW/mw

Copies:

Andrea Moreland, Deputy Executive Director

Lisa Vickers, Deputy Executive Director

Clark Rogers, Chief of Staff

Maria Johnson, GTA Program Director

Damu Kuttikrishnan, ISP Program Director

Kathy DuBose, Coordinator, Joint Legislative Auditing Committee