

Ron DeSantis
Governor

September 30, 2024

■ ■
Taylor N. Hatch
Director

Taylor N. Hatch, Director
Agency for Persons with Disabilities
4030 Esplanade Way, Suite 380
Tallahassee, FL 32399-0950

State Office
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4030 Esplanade Way
Suite 380
Tallahassee
Florida
32399-0950

RE: OIG No. 240823-01-FUP Status of Corrective Actions, Auditor General
Report No. 2024-174, State of Florida – Compliance and Internal Controls Over
Financial Reporting and Federal Awards

Dear Director Hatch:

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(850) 488-4257
Fax:

In accordance with the provisions of section 20.055(6)(h), Florida Statutes, we
asked the responsible managers for a status update regarding finding No. 2023-
053 included in Auditor General report No. 2024-174.

(850) 922-6456
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Toll Free:
(866) APD-CARES
(866-273-2273)

The finding, recommendation, the managers' original response, and the status of
corrective actions are attached. We reviewed management's corrective action
status update and supporting documentation. Management has implemented
corrective actions to address the finding, and their actions are pending Auditor
General verification to determine if the finding is closed.

Please contact me if you have any questions.

Sincerely,

Sherita Keys-Addison

Sherita Keys-Addison, CIGA
Director of Audit

Enclosure

cc JLAC@leg.state.fl.us

Melinda M. Miguel, Chief Inspector General
Sherrill F. Norman, Auditor General
Gina Herron, Chief of Staff
Kathryn Whitehurst, Deputy Director
Alisa Roberson, Director of Administrative and Facility Services
Aares Williams, Contract Administrator
Erin Romeiser, Inspector General

Status of Corrective Actions for Auditor General Report No. 2024-174,
State of Florida Compliance and Internal Controls Over Financial
Reporting and Federal Awards

Status Type		Report No.	Report Title		
Status Update - 6 months		2024-174	Statewide Federal Financial Awards Audit		
Contact Person		Program/Process	Phone No.		
Aares Williams, Contract Administrator		Contract Administration	(850) 488-4262		
Activity		Accountability	Schedule		
Contract Monitoring		Responsible Unit	Repeat Finding	Anticipated Completion Date	
		Bureau of Contract Administration	Yes	July 1, 2023	
Finding:		The FAPD did not ensure that utilization reviews (URs) and continued stay reviews (CSRs) for Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF-IIDs) were conducted by qualified professional personnel.			
No.	2023-053				
Date	March 2024				
Recommendation		We recommend that FAPD management periodically review QIO staffing qualifications to ensure compliance with Federal regulations.			
Original Response/ Action Plan		<p>Aares Williams, Contract Administrator, provided the following response on March 8, 2024:</p> <p>Effective July 1, 2023, FAPD Contract Administration will begin utilizing CA-51 Staffing Verification Certification of Assurance form and an updated CA-35 Service Verification form. These forms will assist with monthly verification of the vendor's required staff and professional qualifications to ensure compliance with federal regulations.</p> <p>If FAPD Contract Administration determines that the staffing requirements and/or qualifications do not meet federal regulations, the provider will be notified in writing utilizing a letter of finding (deficiency) and CA-20 Corrective Action Plan form within seven (7) business days of receipt of the CA-51.</p> <p>The provider will have thirty (30) days to present a Corrective Action Plan (CAP) that details actions necessary to fulfill the staffing deficiency. If the deficiency is not met, FAPD will request the evidence of progression to meeting staffing compliance with federal regulations until compliance is determined.</p> <p>Anticipated Completion Date: Process in Place since July 1, 2023</p>			
Status Updates <input checked="" type="checkbox"/> Corrective Action Completed, Pending Auditor General Verification <input type="checkbox"/> Partially Corrected <input type="checkbox"/> Not Corrected <input type="checkbox"/> No Longer Valid <input type="checkbox"/> Does Not Warrant Further Action		<p>Sharlene Heyward, Contract Monitoring and Oversight Supervisor, provided the following response on September 11, 2024:</p> <p>Effective December 31, 2023, FAPD Contract Administration has implemented the use of the CA-51 Staffing Verification Certification of Assurance form and updated CA-35 Service Verification form.</p> <p>Anticipated Completion Date: Completed December 31, 2023</p>			